

Cryptanalysis of PLWE Based on Zero-Trace Quadratic Roots

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January 18, 2025

Ideal lattices

Definition (Ideal lattice)

An ideal lattice is in integer lattice $\mathcal{L}(\mathcal{B}) \subset \mathbb{Z}^n$ isomorphic as a \mathbb{Z} -module to some ideal of $\mathcal{R} = \mathbb{Z}[x]/(f)$ where f is irreducible, monic, and of degree n .

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Example

Consider $\mathcal{R} = \mathbb{Z}[x]/(1 + x^2)$ which can be embedded into \mathbb{C}^2 via the Minkowski canonical embedding.

$$\begin{aligned}\sigma: \mathcal{R} &\rightarrow \mathbb{C}^2 \\ 1 &\mapsto (1, 1) \\ x &\mapsto (i, -i)\end{aligned}$$

Then the vectors $(1, 0, 1, 0), (0, 1, 0, -1)$ generate an ideal lattice in \mathbb{R}^4 .

Discrete Gaussians

Definition (Elliptic Gaussian)

We say that a random variable X has the continuous **elliptic N -dimensional Gaussian distribution** of mean zero and covariance matrix Σ if it has probability density function

$$\rho_{\mathbf{x}}(\mathbf{x}) = \frac{1}{\sqrt{(2\pi)^N \det(\Sigma)}} \exp\left(-\frac{1}{2} \mathbf{x}^T \Sigma^{-1} \mathbf{x}\right)$$

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We say that a random variable X has the continuous **elliptic N -dimensional Gaussian distribution** of mean zero and covariance matrix Σ if it has probability density function

$$\rho_{\mathbf{r}}(\mathbf{x}) = \frac{1}{\sqrt{(2\pi)^N \det(\Sigma)}} \exp\left(-\frac{1}{2} \mathbf{x}^T \Sigma^{-1} \mathbf{x}\right)$$

Definition (Discrete Gaussian)

Let \mathcal{L} be a full-rank lattice in \mathbb{R}^N . We say that the discrete random variable X supported on \mathcal{L} is a **discrete elliptic Gaussian random variable** if it has the probability distribution

$$\Pr[X = \mathbf{x}] = \frac{\rho_{\mathbf{r}}(\mathbf{x})}{\rho_{\mathbf{r}}(\mathcal{L})} \text{ for all } \mathbf{x} \in \mathcal{L}.$$

Definition of PLWE

Definition (PLWE Distribution)

Let $f(x)$ be a monic irreducible polynomial in $\mathbb{Z}[x]$. For prime q , denote by \mathcal{O}_f the quotient ring $\mathbb{Z}[x]/(f(x))$ and set $R_q = \mathcal{O}_f/q\mathcal{O}_f$. For $s \in R$ and χ an error distribution over R , the PLWE distribution $\mathcal{B}_{s,\chi}$ is given by

$$a \leftarrow U(R_q), \quad e \leftarrow \chi, \quad b = a \cdot s + e, \quad \text{return } (a, b)$$

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Definition (Decision PLWE)

Given m independent samples $(a_i, b_i) \in R_q \times R_q$, $i \in \{1, \dots, m\}$ determine whether these samples are

- (i) from $\mathcal{B}_{s,\chi}$ for some fixed s
- (ii) from the uniform distribution on $R_q \times R_q$

The original attack

Conditions and overview

Let $\mathcal{R}_q = \mathbb{F}_q[x]/(f(x))$ where f is a monic irreducible polynomial in $\mathbb{Z}[x]$ with a simple root α modulo q . If

1. α is small.
2. Order of α is small.

then for smallness interval Σ with $|\Sigma| < q$ and M samples, the Decision-PLWE problem can be solved in polynomial time with probability $1 - (|\Sigma|/q)^M$.

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$$\alpha = 1$$

- Use CRT to attain $\mathbb{F}_q[x]/(f(x)) \simeq \mathbb{F}_q[x]/(x-1) \times \mathbb{F}_q/(g(x))$ for $f(x) = (x-1)g(x)$.

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- Given $(a, b = as + e)$, consider

$$\psi_1(e) = b(1) - a(1)s(1) = \sum_{i=0}^{N-1} e_i$$

which are sampled from a discrete gaussian with standard deviation $\sqrt{N}\sigma = \mathcal{O}(q^{1/4})$

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- Method: Guess $s(1) \in \mathbb{F}_q$ and check if $e(1) \in [-\sqrt{N}\sigma, \sqrt{N}\sigma]$

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α has small order

- If $\alpha \neq 1$ is a root of order r , then again construct isomorphism $\mathbb{F}_q[x]/(f(x)) \simeq \mathbb{F}_q[x]/(x - \alpha) \times \mathbb{F}_q/(g(x))$ for $f(x) = (x - \alpha)g(x)$.

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New attack

Setup: Simplified version

- Assume $x^2 + 1$ is irreducible over \mathbb{F}_q and $x^2 + 1 \mid f(x)$ in $\mathbb{F}_q[x]$. Note that it is reducible over \mathbb{F}_{q^2} .

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- Note that $\text{Tr}_{q^2/q}(\alpha) = \alpha + \alpha^q = \alpha + (-\alpha) = 0$.

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- For PLWE sample $(a, b = as + e)$ we have

$$\text{Tr}(b(\alpha) - a(\alpha)s(\alpha)) = \text{Tr}(e(\alpha))$$

$$= \sum_{j=0}^{N-1} e_j \text{Tr}(\alpha^j) = 2 \sum_{j=0}^{\lfloor \frac{N-1}{2} \rfloor} e_{2j} (-1)^j$$

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- $\Sigma = \left[-2\sqrt{\lfloor \frac{N-1}{2} \rfloor} \sigma, 2\sqrt{\lfloor \frac{N-1}{2} \rfloor} \sigma \right]$ is the smallness region containing at most $4\sqrt{\lfloor \frac{N-1}{2} \rfloor} \sigma + 1$ elements

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Setup: General quadratics

- Assume $x^2 + \rho$ is irreducible, $x^2 + \rho \mid f(x)$ in $\mathbb{F}_q[x]$, and r is the multiplicative order of $\rho \pmod n$. Let $\alpha \in \mathbb{F}_{q^2}$ be a root of $x^2 + \rho$.

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- For PLWE sample $(a, b = as + e)$ we have for $N^* := \lfloor \frac{N-1}{2} \rfloor$

$$\begin{aligned} \text{Tr}(b(\alpha) - a(\alpha)s(\alpha)) &= \text{Tr}(e(\alpha)) \\ &= 2 \sum_{k=0}^{r-1} (-\rho)^k \sum_{i=0}^{\lfloor \frac{N^*}{r} \rfloor - 1} e_{2(ir+k)} \end{aligned}$$

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- For this case, $|\Sigma| \leq \left(4\sqrt{\frac{N^*}{r}}\sigma + 1\right)^r$

New attack

$\mathcal{R}_{q,0}$ case

- Define $\mathcal{R}_{q,0} = \{p(x) \in \mathcal{R}_q \mid p(\alpha) \in \mathbb{F}_q\}$ which has \mathbb{F}_q -dimension $N - 1$.

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$$\frac{1}{2}\text{Tr}(b(\alpha) - a(\alpha)s(\alpha)) = \frac{1}{2}\text{Tr}(b(\alpha)) - \frac{1}{2}a(\alpha)\text{Tr}(s(\alpha)) \in \Sigma$$

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- This attack requires $\mathcal{O}(Mq)$ \mathbb{F}_q -multiplications for M samples.

New attack

Setup for probability analysis

Denote $p^*(x) := \sum_{j=0}^{N^*} p_{2^j} x^j$ and $\mathcal{R}_q^* := \mathbb{F}_q / (f^*(x))$.

Lemma

Given $(a, b) \in \mathcal{R}_{q,0} \times \mathcal{R}_q$ and $g \in \mathbb{F}_q$,

$$(\text{Tr}(b(\alpha) - a(\alpha)g))/2 \in \Sigma \iff b^*(-\rho) - ga^*(-\rho) \in \Sigma$$

and as such the new attack on samples $(a_i, b_i) \in \mathcal{R}_{q,0} \times \mathcal{R}_q$ gives the same result as the old attack on samples $(a_i^*, b_i^*) \in \mathcal{R}_q^* \times \mathcal{R}_q^*$.

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Proof.

Follows from $f(\alpha) = f^*(-\rho) = 0$. □

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Sketch of proof.

Write $a = a_0 + a_1$ where

$$a_0(x) = \sum_{j=0}^{N^*} a_{2j} x^{2j}, \quad a_1(x) = \sum_{j=0}^{N^*} (a_{2j+1} x^{2j}) x.$$

Fix a_0 . Note that any N^* -tuple $(a_1, a_3, \dots, a_{2N^*+1})$ for $a_1(x)$ with $\sum_{j=0}^{N^*} a_{2j+1} (-\rho)^{2j} = 0$ gives rise to the same polynomial $a^*(x)$. □

New attack

Probability analysis

Proposition

For $|\Sigma| < q$ and M the number of input samples from $\mathcal{R}_{q,0} \times \mathcal{R}_q$, the attack we have described succeeds with probability $1 - \left(\frac{|\Sigma|}{q}\right)^M$.

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Sketch of proof.

Write

$$(a(x), b(x)) = (a_0(x), b_0(x)) + (a_1(x), b_1(x))$$

for $a_0(x) = a^*(x^2)$, $b_0(x) = b^*(x^2)$ and $a_1(\alpha) = 0$. Show that the attack output for starred and non-starred samples are the same. □

New attack

Setup for general attack

Definition (X_0)

Given a distribution X over $\mathcal{R}_q \times \mathcal{R}_q$, let X_0 denote the random variable which samples X until it obtains (a, b) with $a \in \mathcal{R}_{q,0}$ and returns (a, b) with the number of queries (count) of X .

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Proposition

With the notations above,

- 1. If X is uniform over $\mathcal{R}_q \times \mathcal{R}_q$, then X_0 is uniform over $\mathcal{R}_{q,0} \times \mathcal{R}_q$.*
- 2. If X is a PLWE distribution then X_0 is a $\mathcal{R}_{q,0} \times \mathcal{R}_q$ -valued PLWE distribution.*

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Remark

$\text{count} \sim \text{Geom}(q^{-1})$ so $\mathbb{E}[\text{count}] = q = \mathcal{O}(N^2)$.

New attack

Setup for general attack

- Let $\ell = \mathcal{O}(p(N))$ be the maximum number of samples to generate. The probability that for ℓ samples in \mathcal{R}_q^2 , at least $k \leq \ell$ of them belong to $\mathcal{R}_{q,0} \times \mathcal{R}_q$ is (by normal approximation)

$$P[\mathcal{B}(\ell, q^{-1}) \geq k] \approx P \left[Z \geq \frac{k - \ell q^{-1}}{\sqrt{\ell q^{-1}(1 - q^{-1})}} \right]$$

where \mathcal{B} denotes a random variable with the binomial distribution of parameters ℓ and q^{-1} .

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- This probability is $\approx 1/2$ for $k \approx \ell q^{-1} > 5$.
- We choose k so that $1 - \left(\frac{|\Sigma|}{q}\right)^k \geq \theta$ where θ is the desired success probability.

New attack

General attack

1. Sample from $\mathcal{R}_q \times \mathcal{R}_q$ until k samples fall in $\mathcal{R}_{q,0} \times \mathcal{R}_q$.
2. Apply the trace attack on the k samples.

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3. It is unlikely (heuristic) for $\Phi_n(x)$ to have an irreducible quadratic factor with 0 linear term.
4. For conductor $n = p^k$ and $q = 1 + p^A u$ for $(u, p) = 1$ and $2 \leq A < k$

$$\Phi_{p^k}(x) = \prod_{\rho \in \Omega(p^A)} (x^{p^{k-A}} - \rho)$$

where $\Omega(p^A)$ is the set of primitive p^A th roots of unity. Attack is efficient for $A = 2$ but the subspace $\mathcal{R}_{q,0}$ has dimension $\approx N/2$ and weak samples are rare.

References

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